

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**PH01-00-04E**

In Re Application Of: **Thomas Andrew Strasser et al.**

Serial No.  
**10/099,888**

**JUN 14 2002**

Filing Date  
**3/15/02**

Examiner  
**Unassigned**

Group Art Unit  
**2633**

Invention: **METHOD AND APPARATUS FOR TRANSFERRING WDM SIGNALS BETWEEN DIFFERENT  
WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATIONS SYSTEMS IN AN OPTICALLY  
TRANSPARENT MANNER**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

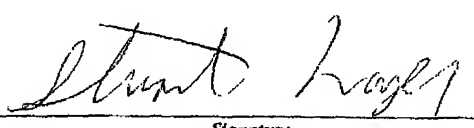
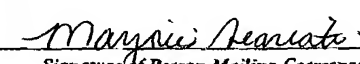
**4/8/02**  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ **not required** verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

**Recordation Form Cover Sheet, Patents Only, and Assignment in favor of Photuris, Inc.  
Transmittal of Information Disclosure Statement  
Information Disclosure Citation, Form PTO-1449, including 1 copy of each of 3 U.S. Patent Applications  
Preliminary Amendment  
7 sheets substitute (formal) drawings in compliance with 37 CFR 1.84**

P31SMALL/REV02

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533) (Small Entity)</b>			<b>Docket No.</b> <b>PH01-00-04E</b>
In Re Application Of: <b>Thomas Andrew Strasser et al.</b>			
<b>Serial No.</b> 10/099,888	<b>Filing Date</b> 3/15/02	<b>Examiner</b> Unassigned	<b>Group Art Unit</b> 2633
<b>Invention: METHOD AND APPARATUS FOR TRANSFERRING WDM SIGNALS BETWEEN DIFFERENT WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATIONS SYSTEMS IN AN OPTICALLY TRANSPARENT MANNER</b>			
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u></p> <p style="text-align: center;"><u>Attention: Manager, Application Branch</u></p> <p>The fee of <b>\$435.00</b> is to be paid as follows:</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <b>50-1047</b> A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. <b>50-1047</b> A duplicate copy of this sheet is enclosed.</p> <div style="margin-top: 40px;"> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">             Signature         </div> <div style="text-align: right;">           Dated: 6/10/02         </div> </div> <div style="margin-top: 20px;"> <b>Stuart H. Mayer     Reg. No. 35,277</b>  <b>Mayer Fortkort &amp; Williams, PC</b>  <b>251 North Avenue West, 2nd Floor</b>  <b>Westfield, NJ 07090</b>    <b>Tel.: 908-518-7700</b> </div> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 20px; width: fit-content;"> <p>I certify that this document and fee is being deposited on <u>6/10/02</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <div style="text-align: center; margin-top: 10px;">             Signature of Person Mailing Correspondence         </div> <div style="text-align: center; margin-top: 10px;"> <b>Marjorie Scariati</b>            Typed or Printed Name of Person Mailing Correspondence         </div> </div>			

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,888	03/15/2002	Thomas A. Strasser	PH01-00-04E

27774  
MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090



CONFIRMATION NO. 5420

## FORMALITIES LETTER



\*OC000000007811863\*

Date Mailed 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - Drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)).

06/19/2002 MAHMED1 00000070 501047 10099888

01 FC:201 370.00 CH  
02 FC:205 65.00 CH

*A copy of this notice MUST be returned with the reply.*

*JAB*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE